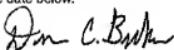


**CERTIFICATE OF ELECTRONIC FILING**

I hereby certify that this correspondence is being transmitted to the Commissioner for Patents, Alexandria, VA, via the Office electronic filing system in accordance with 37 C.F.R. § 1.6(a)(4), on the date below.

December 23, 2009

Dean C. Brahm



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**ASSERTION OF ENTITLEMENT TO SMALL ENTITY STATUS**

Sir:

Applicants hereby assert small entity status for the matters listed below. Change of entity status occurred due to a change in the sub-licensing of the listed matters.

| Application Number | Patent Number | Docket Number |
|--------------------|---------------|---------------|
| 09/561,108         | 6,342,221     | 3999.002584   |
| 09/561,005         | 6,703,020     | 3999.002585   |
| 09/561,526         | 6,416,758     | 3999.002586   |
| 10/738,404         |               | 3999.002587   |
| 60/131,432         |               | 3999.002590   |
| 09/998,831         | 6,676,941     | 3999.002599   |

Although no fees should be required, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is authorized to deduct said fees from Peregrine Pharmaceuticals, Inc. Deposit Account No. 50-3493.

Respectfully submitted,

PEREGRINE PHARMACEUTICALS, INC.  
Customer No. 000052101



Shelley P.M. Fussey, Ph.D.  
Reg. No. 39,458  
Agent for Applicants